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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/980,134	07/02/2002	Raghuveer Basude	D2027/20139	8214
3000 7590 12/03/2007 CAESAR, RIVISE, BERNSTEIN, COHEN & POKOTILOW, LTD. 11TH FLOOR, SEVEN PENN CENTER 1635 MARKET STREET PHILADELPHIA, PA 19103-2212			EXAMINER EBRAHIM, NABILA G	
			ART UNIT 1618	PAPER NUMBER
			NOTIFICATION DATE 12/03/2007	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patents@crbcp.com

<b>Office Action Summary</b>	<b>Application No.</b> 09/980,134	<b>Applicant(s)</b> BASUDE ET AL.	
	<b>Examiner</b> Nabila G. Ebrahim	<b>Art Unit</b> 1618	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 05 September 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-17 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-17 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

Receipt of Applicant's remarks and amendments to the claims dated 9/5/07 is acknowledged.

***Status of Claims***

Claims 1-17 are pending in the application.

Claim 17 is new.

***Status of Office Action:*** Final.

***Claim Rejections - 35 USC § 102***

In view of amending the claims, the rejections under 35 USC §102 are withdrawn.

***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 1-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rasor 5141738 or Schneider US 5271928 in view of Unger US 5542935 (hereinafter "Unger") and further in view of Hugh D. Van Liew et al. Stabilized bubbles in the body: pressure-radius relationships and the limits to stabilization Journal of Applied Physiology Vol. 82, No. 6, pp. 2045-2053, June 1997 (Hugh).

Rasor discloses a composition for ultrasound imaging comprising a microparticle having a hydrophobic surface (col. 8, lines 24-26) and a gas microbubble, (col. 6, lines 3-11). The gas microbubble attaches or in contact with the microparticle, (column 6, line 57). The compositions are prepared by methods including storing the microparticle in a gaseous environment and introducing the microparticles into a liquid, (col. 9 bridging to 10 and examples). Also, since the

microparticle contains a lipophilic surface, it would have affinity for lipophilic gases such as perfluorocarbons that are somewhat lipophilic by nature. In addition, the step of forming the gas microbubble recited in claim 1 and 2 of the current application is considered a product by process limitation, wherein only a specific single step excludes adding surfactant, this limitation does not exclude surfactant in the microbubble and consequently does not differentiate over the prior art. Rasor also teaches that the ultrasonic diagnostics comprising a liquid vehicle containing (a) suspended therein microparticles of a mixture of at least one  $C_8 - C_{20}$  fatty acid and at least one solid that is not a surfactant and (b) microbubbles (abstract), the disclosure does not include the surfactant and is administered intravenously (into the blood).

Schneider discloses a composition for ultrasound imaging comprising a microparticle having a hydrophobic surface (such as, a liposome) and microbubbles, which are associated therewith, in that the liposomes stabilize the microbubbles, see (col. 4, lines 6-36). The compositions are prepared by a method of storing the liposomes in a gaseous environment and introducing the liposomes into a liquid, (col. 4, lines 37-55). The compositions may further include drugs, such as radionuclide for nuclear medicine, (col. 10, lines 3-5) as well as, a targeting moiety, (column 9, lines 36-66). Also, since the microparticle contains a lipophilic surface, it would have affinity for lipophilic gases such as perfluorocarbons that are somewhat lipophilic by nature. Schneider also disclosed that his composition is suitable for injection into the bloodstream and body cavities of living beings, comprising a suspension of stabilized air or gas microbubbles in a physiologically acceptable aqueous carrier (claim 1).

Rasor and Schneider disclose compositions comprising a microparticle and microbubble for methods of ultrasound and/or drug delivery, as discussed above.

Rasor and Schneider fail to disclose that the methods of drug delivery include a step of insonating the desired site in the patient to rupture the microbubble thereby releasing a drug.

Unger discloses compositions comprising microbubbles that are useful for both ultrasound imaging and drug delivery, see abstract and column 35, lines 4-5. Unger teaches that the microbubbles may further comprise various drugs that are released by insonation to provide the advantage of site-specific delivery to a desired site, (e.g., the drug is not released until the particles reach the treatment site), (col. 35, lines 29+). Unger also disclosed that the microsphere might be made of starch. This disclosure reads on the requirement of new claim 15 (col. 29, lines 12+), and that the microspheres are preferably sufficiently stable in the vasculature such that they withstand recirculation. The gaseous precursor-filled microspheres may be coated such that uptake by the reticuloendothelial system is minimized. Useful coatings include, for example, polyvinyl alcohol, and starch (col. 19, lines 40+ and).

It would have been obvious to one of ordinary skill in the art to use the compositions disclosed by Rasor or Schneider for drug delivery by insonating the microbubbles at a desired site in vivo because Unger teaches that analogous gas-filled microbubbles may further contain various drugs to yield a drug delivery means having the advantage of site-specific delivery by insonating the microbubbles at a desired site in vivo. One of ordinary skill in the art would have been motivated to employ the drug delivery methods and the materials that form the microspheres disclosed by Unger using the compositions disclosed by Rasor and Schneider to obtain a composition which is useful for both ultrasound imaging and site-specific therapy using a single administration, wherein the insonating step provides release of the drug specifically at the treatment site.

None of the disclosure teaches preparing the microparticles without a surfactant.

Hugh teaches that there are two general classes of mechanisms can stabilize bubbles. First, slowly permeating gases remain for relatively long times in the bubbles, while other more rapidly permeating gases diffuse in or out according to their concentrations in the environment total pressure inside is greater than that outside because of pressure due to surface tension. Second, structures at the gas-liquid interface can serve as stabilizers; examples are surface-active films (surfactants), surface-active protein that may be denatured, and gelatin (page 2045, right col.). Hugh also discloses that it remains to be seen which stabilizer characteristics give the best signal when bubbles are used for ultrasonic contrast: large elements, many elements, or large compliance. In some circumstances, the ultrasonic signal due to a bubble is proportional to the sixth power of radius, so stabilizing mechanisms that give rise to large bubbles offer far more enhancement of a given signal than mechanisms that make for smaller bubbles (page 2051, left col.).

Accordingly, methods of stabilizing microbubbles other than using surfactants were known in the art at the time the invention was made. It would have been obvious to one of ordinary skill in the art to navigate between the different methods known to use stabilizing mechanisms that leads to more enhancement of the given signal. The expected result would be a microbubble consisting essentially of hydrophobic microparticles that may be formed without a surfactant wherein a microbubble is attached or encapsulate the microparticle.

### ***Response to Arguments***

Applicant's arguments with respect to claims 1-17 have been considered but are moot in view of the new ground(s) of rejection. Applicant's arguments are based on the exclusion of surfactants in preparing the microparticles. This argument renders moot in view of combining Hugh who teaches the different methods of stabilizing microbubbles with or without a surfactant.

***Conclusion***

2. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nabila G. Ebrahim whose telephone number is 571-272-8151. The examiner can normally be reached on 8:00AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Hartley can be reached on 571-272-0616. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Nabila Ebrahim  
AU 1618



MICHAEL G. HARTLEY  
SUPERVISORY PATENT EXAMINER